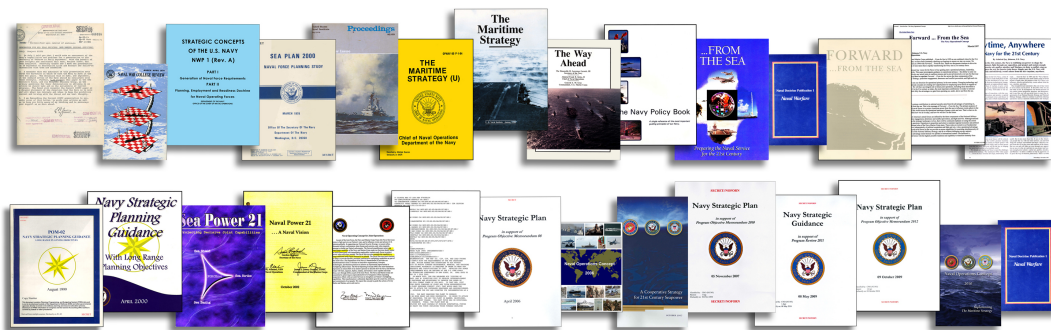


# U.S. Navy Capstone Strategies and Concepts (1970-2010): Comparisons, Contrasts, and Changes: Volume II

Peter M. Swartz  
with Karin Duggan



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December 2011



Dr. W. Eugene Cobble, Jr.  
Director, Strategic Initiatives Group

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## Contents

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- ◆ Introduction & guide . . . . . 2
- ◆ Charts comparing *form* of the documents . . . . . 3
- ◆ Charts comparing *substance* of the documents . . . 13

- ◆ This paper provides enlarged charts of selected comparisons among 35 or so US Navy capstone documents across, entire 1970-2010 period
- ◆ Charts are selected from *U.S. Navy in the World (1970-2010): Comparison, Contrasts and Changes, Volume I*
  - ◆ See inside back cover for complete reference information
- ◆ Charts are reproduced here in larger, more readable format than those in *Volume I*

## Comparing who tasked them (I)



Project SIXTY	CNO
Missions of the U.S. Navy	PNWC
NWP 1 (Rev. A): Strategic Concepts of the U.S. Navy	CNO
Sea Plan 2000	SECNAV, SECDEF
CNO Strategic Concepts, Future of U.S. Sea Power	CNO
The Maritime Strategy	VCNO, CNO
The Way Ahead	SECNAV
The Navy Policy Book	CNO
... From the Sea	SECNAV
Naval Doctrine Pub (NDP) 1: Naval Warfare	CNO, CMC
Forward ... From the Sea	SECNAV
Navy Operational Concept (NOC)	CNO, CMC
Anytime, Anywhere	CNO
Navy Strategic Planning Guidance (NSPG) I & II	CNO
Sea Power 21 & Global CONOPs	CNO
Naval Power 21 ... A Naval Vision	SECNAV
Naval Operating Concept for Joint Operations (NOCJO)	CNO, CMC
Fleet Response Plan (FRP)	CNO
Navy Strategic Plan (NSP) ISO POM 08	CNO
Naval Operations Concept (NOC) 2006	CNO, CMC
Navy Strategic Plan (NSP) ISO POM 10	CNO
A Cooperative Strategy for 21 <sup>st</sup> Century Seapower	CNO
Navy Strategic Plan (NSP) ISO POM 10 (Change 1)	VCNO
Navy Strategic Guidance (NSG) ISO PR 11	CNO
Navy Strategic Plan (NSP) ISO POM 12	VCNO
Naval Operations Concept (NOC) 2010	CNO, CMC
Naval Doctrine Pub (NDP) 1: Naval Warfare	COMNWDC, CGMCCDC
Navy Strategic Plan (NSP) ISO POM 13	CNO

## Comparing principal target audiences (I)



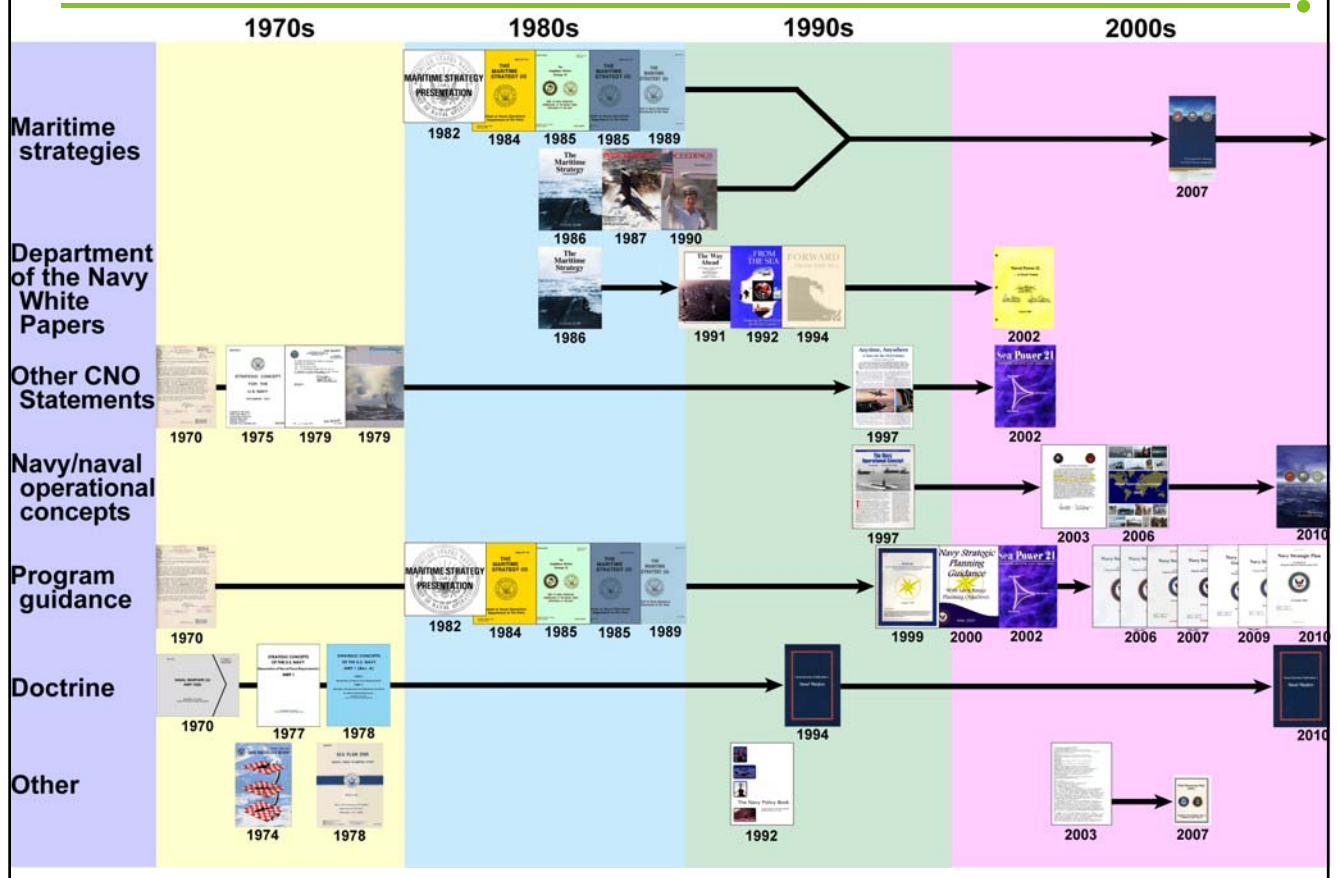
Project SIXTY	SECDEF, OSD, DON, OPNAV
Missions of the U.S. Navy	USN officer corps
NWP 1 (Rev. A)	USN officer corps, Congress
Sea Plan 2000	SECDEF, OSD, DON, OPNAV, Congress
Future of U.S. Sea Power	USN officer corps, Congress
The Maritime Strategy	Many, esp. USN officer corps, Soviets
The Way Ahead	Many, esp. USN officer corps
The Navy Policy Book	USN officers & enlisted
. . . From the Sea	Many, esp. USN & USMC officer corps
NDP 1	All services' officer corps
Forward . . . From the Sea	Many, esp. USN officer corps
NOC	USN officer corps
Anytime, Anywhere	Many, esp. USN officer corps
NSPG I & II	DON planners, programmers, budgeters
SP 21 & Global CONOPs	Many, esp. USN programmers
Naval Power 21	USN & USMC leadership
NOCJO	USN & USMC officers & enlisted
Fleet Response Plan (FRP)	SECDEF, OSD, USN officers; later USN enlisted & families
NSP ISO POM 08	DON planners, programmers, budgeters
NOC 2006	USN & USMC officers & enlisted
NSP ISO POM 10	DON planners, programmers, budgeters
A Cooperative Strategy	American people, Congress
NSP ISO POM 10 (Change 1)	DON programmers, budgeters
NSG ISO PR 11	DON programmers, budgeters
NSP ISO POM 12	DON programmers, budgeters
NOC 2010	Sailors, Marines, Coastguardsmen, Congress
NDP 1	Sailors, Marines, Coastguardsmen
NSP ISO POM 13	DON programmers, budgeters

## Comparing what *they* said they were (II)

Project SIXTY	"Assessment & direction"
Missions of the U.S. Navy	"Missions"
NWP 1 (Rev. A): Strategic Concepts of the U.S. Navy	"Strategic concepts"
Sea Plan 2000	"Force planning study"
CNO Strategic Concepts & Future of U.S. Sea Power	"Strategic concepts & Fundamental principles"
The Maritime Strategy	"Strategy", "Strategic Vision"
The Way Ahead	"Way ahead"
The Navy Policy Book	"Policy" (included a "vision")
. . . From the Sea	"White paper, combined vision"
Naval Doctrine Pub (NDP) 1: Naval Warfare	"Doctrine"
Forward . . . From the Sea	"Strategic concept"
Navy Operational Concept (NOC)	"Operational concept"
Anytime, Anywhere	"Vision"
Navy Strategic Planning Guidance (NSPG) I & II	"Strategic planning guidance" (including "operational concepts")
Sea Power 21 & Global CONOPs	"Vision, CONOPS"
Naval Power 21: A Naval Vision	"Vision"
Naval Operating Concept for Joint Operations (NOCJO)	"Operating concept"
Fleet Response Plan (FRP)	"Concept, then plan" "Operational framework"
Navy Strategic Plan (NSP) ISO POM 08	"Strategic plan" (included a "vision")
Naval Operations Concept (NOC) 2006	"Operations concept", "vision"
Navy Strategic Plan (NSP) ISO POM 10	"Strategic plan"
A Cooperative Strategy for 21 <sup>st</sup> Century Seapower	"Strategy"
Navy Strategic Plan (NSP) ISO POM 10 (Change 1)	"Strategic plan"
Navy Strategic Guidance (NSG) ISO PR 11	"Strategic guidance"
Navy Strategic Plan (NSP) ISO POM 12	"Strategic plan"
Naval Operations Concept (NOC) 2010	"Operations concept"
Naval Doctrine Pub (NDP) 1: Naval Warfare	"Doctrine"
Navy Strategic Plan (NSP) ISO POM 13	"Strategic Plan"



# A way to categorize US Navy capstone documents



## Where -- in USN -- they were drafted (I)

CNA

Project SIXTY	CNO Flag SAs
Missions of the U.S. Navy	NWC
NWP 1 (Rev. A): Strategic Concepts of the U.S. Navy	CNO, OP-60N
Sea Plan 2000	Working group
CNO Strategic Concepts, Future of U.S. Sea Power	CNO EA
The Maritime Strategy	OP-603, OP-00K
The Way Ahead	OP-07
The Navy Policy Book	OP-00K
. . . From the Sea	Working group, then small senior group
Naval Doctrine Pub (NDP) 1: Naval Warfare	NAVDOCCOM
Forward . . . From the Sea	N513
Navy Operational Concept (NOC)	Working group, then N513
Anytime, Anywhere	N00K
Navy Strategic Planning Guidance (NSPG) I & II	N513
Sea Power 21 & Global CONOPs	N00Z, N81
Naval Power 21 . . . A Naval Vision	Deep Blue
Naval Operating Concept for Joint Operations (NOCJO)	NWDC, N513
Fleet Response Plan (FRP)	Deep Blue
Navy Strategic Plan (NSP) ISO POM 08	N5SP
Naval Operations Concept (NOC) 2006	Deep Blue, N5SP
Navy Strategic Plan (NSP) ISO POM 10	N5SC
A Cooperative Strategy for 21 <sup>st</sup> Century Seapower	N5SAG
Navy Strategic Plan (NSP) ISO POM 10 (Change 1)	N5SC
Navy Strategic Guidance (NSG) ISO PR 11	N513
Navy Strategic Plan (NSP) ISO POM 12	N513
Naval Operations Concept (NOC) 2010	N51
Naval Doctrine Pub (NDP) 1: Naval Warfare	NWDC
Navy Strategic Plan (NSP) ISO POM 13	N513

Note: RED = OPNAV N513 + predecessors (OP-603 /N5SC/N513).

7

## Who drafted them? From what community?



Project SIXTY	CAPT S. Turner, RADM W. Bagley	Surface, Surface
Missions of the U.S. Navy	VADM S. Turner, CDR G. Thibault	Surface, Surface
NWP 1	ADM J. Holloway, LCDR J. Strasser	TACAIR aviator, Surface
Sea Plan 2000	Many, esp. Prof. F. J. West (was USMC), LCDR J. Stark	Surface
Future of U.S. Sea Power	CAPT W. Cockell	Surface
The Maritime Strategy	CDR S. Johnson, LCDR S. Weeks, CAPT R. Barnett, CDR P. Swartz, CAPT L. Seaquist, CDR T.W. Parker, CAPT L. Brooks, CDR R.R. Harris, CDR R.M. Brown	Surface (6), General URL, Submariner, helo aviator
The Way Ahead	CDR R. Wright, CAPT W. Center	Surface, Surface
The Navy Policy Book	CDR J. Holden	General URL
. . . From the Sea	Many, esp. CAPT H. Petrea	TACAIR aviator, etc.
NDP 1: Naval Warfare	CDR R. Zalaskus	Submariner
Forward . . . From the Sea	CAPT J. Sestak, LCDR E. O' Callahan	Surface, MPRA aviator
Navy Operational Concept	CDR J. Bouchard, VADM A. Cebrowski	Surface, TACAIR aviator
Anytime, Anywhere	CAPT R. R. Harris, CAPT E. Smith	Surface, Intel
NSPG I & II	CDR C. Faller, LT C. Cavanaugh	Surface, Submariner
SP 21 & Global CONOPS	CAPT F. Pandolfe, CDR S. Richter	Surface, Surface
Naval Power 21	RDML J. Stavridis	Surface
NOCJO	Various, esp. CAPT B. Barrington, CAPT T. Klepper	Surface, MPRA aviator
Fleet Response Plan	RDML D. Crowder, CAPT J. Bouchard	Surface, Surface
NSP ISO POM 08	RDML C. Martoglio	Surface
NOC 2006	CAPT P. Cullom, CDR T. Disy	Surface, Surface
NSP ISO POM 10 (& CH 1)	CDR P. Nagy, LCDR J. Stewart	Surface, MPRA aviator
Cooperative Strategy	CDR B. McGrath, LT J. Ennis	Surface, Surface
NSG ISO PR 11	CDR S. Kelley, Ms. K. Schenck	Helo aviator, Civ.Contractors
NSP ISO POM 12	CDR S. Kelley, Ms. K. Schenck	Helo aviator, Civ.Contractors
NOC 2010	CAPT D. Venlet, LCDR M. Mosbrugger, CAPT J. McLain	Surface, Surface, Helo
NDP 1: Naval Warfare	CAPT (Ret) E. Long	Civ.Contractors (was Surface)
NSP ISO POM 13	CDR E. Fino	Submariner

## Comparing who signed them



Year	Publication	SECNAV	CNO	CMC	CCG	CFFC	PNWC
1970	Project SIXTY		Zumwalt				
1974	Missions of the U.S. Navy						Turner
1978	NWP 1 (Rev. A):		Holloway				
1978	Sea Plan 2000	Claytor					
1979	Future of U.S. Sea Power		Hayward				
1982-	The Maritime Strategy	Lehman	Watkins	Kelley			
1990			Trost				
1991	The Way Ahead	Garrett	Kelso	Gray			
1992	The Navy Policy Book		Kelso				
1992	...From the Sea	O'Keefe	Kelso	Mundy			
1994	NDP 1		Kelso	Mundy			
1994	Forward...From the Sea	Dalton	Boorda	Mundy			
1997	NOC		Johnson				
1997	Anytime, Anywhere		Johnson				
1999-0	NSPG I & II		Johnson				
2002	SP 21 & Global CONOPs		Clark				
2002	Naval Power 21: A Naval Vision	England	Clark	Jones			
2003	NOCJO		Clark	Hagee			
2003	Fleet Response Plan (FRP)		Clark			Natter	
2006	NSP ISO POM 08		Mullen				
2006	NOC		Mullen	Hagee			
2007	NSP ISO POM 10		Mullen				
2007	A Cooperative Strategy		Roughead	Conway	Allen		
2007	NSP ISO POM 10 (Change 1)		Roughead				
2009	NSG ISO PR 11		Roughead				
2009	NSP ISO POM 12		Roughead				
2010	NOC		Roughead	Conway	Allen		
2010	NDP 1		Roughead	Conway	Allen		
2010	NSP ISO POM 13		Roughead				

## Comparing document length (II)

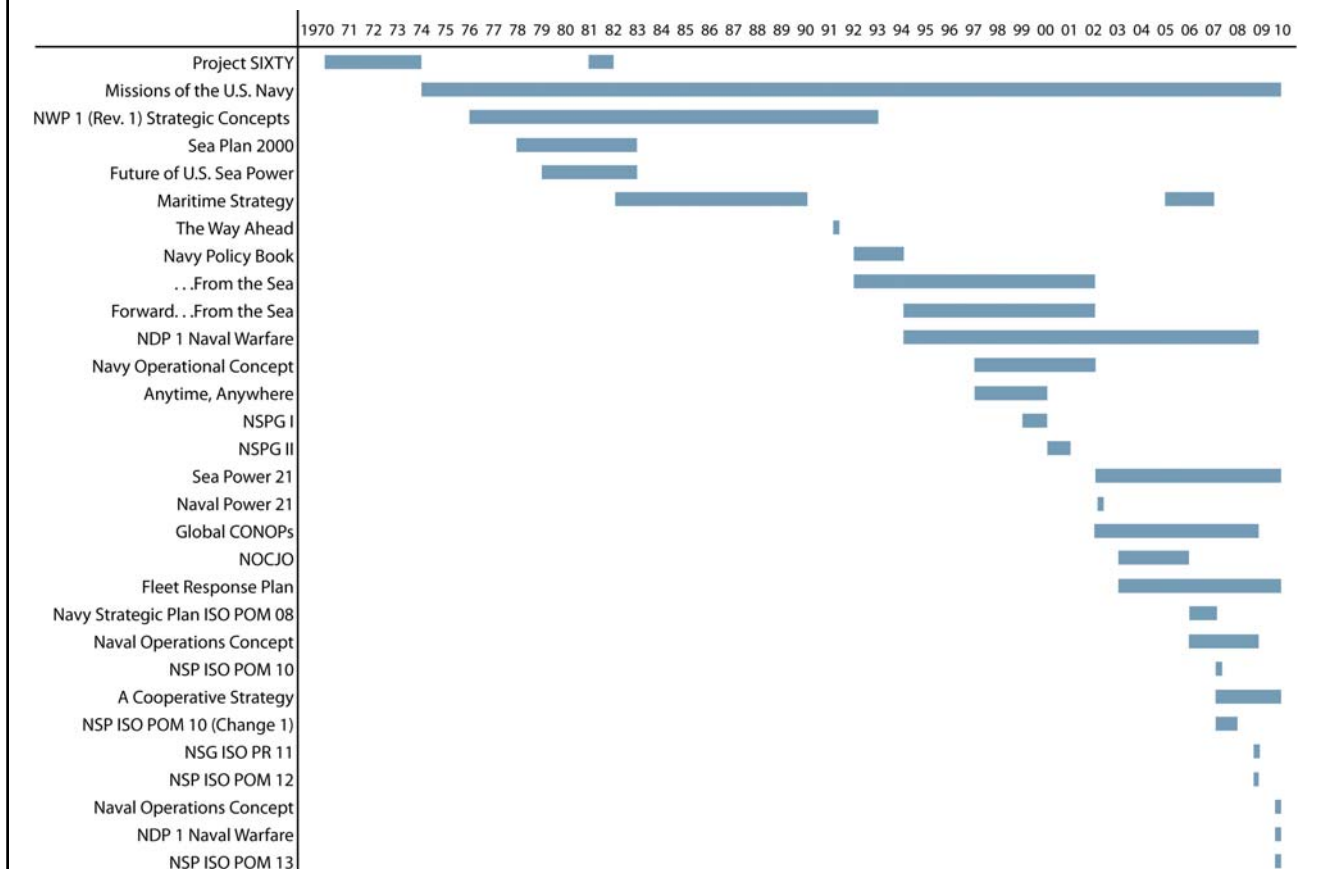


Project SIXTY	30 (plus 44 slides)
Missions of the U.S. Navy	16
NWP 1 (Rev. A): Strategic Concepts of the U.S. Navy	37
Sea Plan 2000	(U) 23/ (S) 889
CNO Strategic Concepts, Future of U.S. Sea Power	7 , 6
The Maritime Strategy	(S) 70, 87, 47(AW), 70, 51/ (U) 40, 4, 9
The Way Ahead	12
The Navy Policy Book	40
. . . From the Sea	16
Naval Doctrine Pub (NDP) 1: Naval Warfare	76
Forward . . . From the Sea	12
Navy Operational Concept (NOC)	8
Anytime, Anywhere	3
Navy Strategic Planning Guidance (NSPG) I & II	(S) 55 & (U) 90
Sea Power 21 & Global CONOPS	48
Naval Power 21 . . . A Naval Vision	6
Naval Operating Concept for Joint Operations (NOCJO)	23
Fleet Response Plan (FRP)	3
Navy Strategic Plan (NSP) ISO POM 08	(U) 23/ (S) 42
Naval Operations Concept (NOC) 2006	36
Navy Strategic Plan (NSP) ISO POM 10	75
A Cooperative Strategy for 21 <sup>st</sup> Century Seapower	16
Navy Strategic Plan (NSP) ISO POM 10 (Change 1)	76
Navy Strategic Guidance (NSG) ISO PR 11	33
Navy Strategic Plan (NSP) ISO POM 12	76
Naval Operations Concept (NOC) 2010	102
Naval Doctrine Pub (NDP) 1: Naval Warfare	54
Navy Strategic Plan (NSP) ISO POM 13	19

## Comparing how they were published (I)

Project SIXTY	Briefings, Ltr to Flags
Missions of the U.S. Navy	NWCR, NIP
NWP 1 (Rev. A)	NWP, NIP, Posture statements
Sea Plan 2000	Study document, IS
Future of U.S. Sea Power	Ltr to Flags, Briefings, NIP, Posture Statement, testimony
The Maritime Strategy	Document, NIP, IS; booklet, video, testimony, books
The Way Ahead	NIP; MCG; booklet
The Navy Policy Book	Booklet
. . . From the Sea	NIP; MCG; booklet, web
NDP 1: Naval Warfare 1994	booklet, web
Forward . . . From the Sea	NIP; MCG; booklet, web
NOC 1999	Sea Power, web
Anytime, Anywhere	NIP
NSPG I & II	Booklets, web, SIPRNET
SP 21 & Global CONOPs	Speech, NIPs; booklets, web, NWCR, Program Guide
Naval Power 21 . . . A Naval Vision	Web
NOCJO	Web
Fleet Response Plan (FRP)	Naval messages, instructions, NIP, web, booklet, testimony
NSP ISO POM 08	Web, SIPRNET
NOC 2006	Booklet, web, ITN
NSP ISO POM 10	SIPRNET
Cooperative Strategy	Book, web, DVD, CD, NIP, MCG, NWCR, PG, testimony, blog
NSP ISO POM 10 (Change 1)	SIPRNET
NSG ISO PR 11	SIPRNET
NSP ISO POM 12	SIPRNET
NOC 2010	Book, web, blogs
NDP 1: Naval Warfare 2010	Booklet, web, tri-fold
NSP ISO POM 13	SIPRNET

# How long they were influential





## Principal potential threats & challenges identified (I)

Project SIXTY	Soviet Union, but also Communist China
Missions of the U.S. Navy	Soviet Union, but also Communist China
NWP 1 (Rev. A)	"aggression," "threat"
Sea Plan 2000	Soviet Union
Future of U.S. Sea Power	Soviet Union, Warsaw Pact
The Maritime Strategy	Soviet Union and its allies and clients
The Way Ahead	"a number of regional threats," possible re-emergent global threat
The Navy Policy Book	"enemies"
. . . From the Sea	"regional challenges," regional adversary," "regional littoral threats"
NDP 1: Naval Warfare	"adversary," "enemy," "growing regional threats"
Forward . . . From the Sea	"aggression by regional powers"
Navy Operational Concept	"any foe that may oppose us," "aggressors"
Anytime, Anywhere	"Foes," "enemy," "aggressor"
NSPG II (2000)	"Potential adversary capabilities" (principally China, Russia, N. Korea, Iran, Iraq)
SP 21 & Global CONOPs	"evolving regional challenges and transnational threats"
Naval Power 21	"our nation's enemies"
NOCJO	"Conventional and unconventional threats...to challenge US military superiority"
Fleet Response Plan (FRP)	Short-notice requirements for significant forward naval forces
NSP ISO POM 08 (U)	A few hostile states – some with nuclear weapons; terrorists, proliferators, etc.
NOC 2006	"a diverse array of rising nations, failing states, and non-state actors"
NSP ISO POM 10 (& Ch 1)	CLASSIFIED
Cooperative Strategy	"Major power war, regional conflict, terrorism, lawlessness, and natural disasters"
NSG ISO PR 11	CLASSIFIED
NSP ISO POM 12	CLASSIFIED
NOC 2010	"a broad range of nuclear, conventional and irregular challenges"
NDP 1: Naval Warfare	"anti-access & area-denial capabilities;" "regional aggressors;" "another great power;" "transnational threats"
NSP ISO POM 13	CLASSIFIED

13



## How they were organized & constructed (II) CNA

Year	Documents	Navy capabilities	Spectrum of conflict	Pillars	Other
1970	Project SIXTY	●			
1974	Missions of the Navy	●			Tactics
1978	NWP 1 (Rev A)	●			12 warfare tasks
1978	Sea Plan 2000		●		Capabilities
1979	Future of US Sea Power				Principles, conclusions
1980s	The Maritime Strategy	●	●		Warfare tasks
1991	The Way Ahead				4 elements, missions list
1992	Navy Policy Book				20 characteristics
1992	...From the Sea	●		●	
1994	NDP 1: Naval Warfare	●		●	Characteristics, principles; styles
1994	Forward...From the Sea	●	●		
1997	NOC		●		
1997	Anytime, Anywhere	●			
2000	NSPG		●	●	10-part model
2002	SP 21 & Global CONOPs	●	●	●	
2002	Naval Power 21			●	
2003	NOCJO		●	●	USMC concepts
2003	Fleet Response Plan				Levels of war, challenges,
2006	NSP ISO POM 08			●	focus areas, levels of war
2006	NOC	●			Multiple constructs
2007	NSP ISO POM 10 + Ch 1	●		●	Strategic imperatives
2007	Cooperative Strategy	●			Strategic imperatives
2009	NSG ISO PR 11	●			Strategic imperatives
2009	NSP ISO POM 12				Strategic imperatives
2010	NOC	●			Strategic imperatives
2010	NDP 1: Naval Warfare	●			3 levels of war; 6 phases of ops
2010	NSP ISO POM 13	●			Strategic imperatives

14

## Construct #1: “Navy capabilities”



Project SIXTY	4 categories of USN capabilities (“classic 4”)
Missions of the U.S. Navy	4 missions (“classic 4”)
NWP 1 (Rev. A)	2 functions (SC, PP); 3 roles (incl/ strat nuclear deterrence); presence a side benefit
Sea Plan 2000	
Future of U.S. Sea Power	
The Maritime Strategy	sea control, power proj., sealift (1986); deterrence, forward ops, alliances (1990)
The Way Ahead	
The Navy Policy Book	20 characteristics of naval operations, incl/ “classic 4”, sealift
... From the Sea	6 capabilities, incl/ “classic 4”, crises, sealift
NDP 1: Naval Warfare	6 ways naval forces accomplish roles
Forward ... From the Sea	5 fundamental and enduring roles (“classic 4” + sealift)
Navy Operational Concept	
Anytime, Anywhere	4 broad missions (sea control the prerequisite)
NSPG II	10-part multi-level model, incl/ “classic 4” missions
SP 21 & Global CONOPs	5 enduring missions (“classic 4” + strategic sealift)
Naval Power 21	
NOCJO	
Fleet Response Plan	
NSP ISO POM 08	
NOC 2006	13 naval missions, incl/ “classic 4”. No sealift.
NSP ISO POM 10 + Ch 1	6 CNO core naval mission areas, 7 other CNO naval mission areas
Cooperative Strategy	6 expanded core capabilities (“classic 4” + MSO, HA/DR). No sealift.
NSG ISO PR 11	6 core capabilities; 6 additional capabilities
NSP ISO POM 12	
NOC 2010	6 core capabilities
NDP 1: Naval Warfare	6 core capabilities
NSP ISO POM 13	6 core capabilities

15

## Evolution of “navy capabilities” construct (I)

### Project SIXTY (1970)

#### 4 capabilities

Assured second strike

Control of sea lines &  
areas/Sea control

Projection of power ashore

Overseas presence in  
peacetime

### Missions of the US Navy (1974)

#### 4 missions/mission areas

Strategic deterrence

Sea control

Projection of power (ashore)

Naval presence

### NWP 1 (Rev A) (1978)

#### 2 functions

Sea control

Power projection

### The Maritime Strategy (1986 and 1990)

#### Missions (1986)

Sea control

Power projection

Sealift

#### Principles (1990)

Deterrence

Forward Ops

Alliances

### The Way Ahead (1991)

#### Major defense policy elements

Deterrence

Nuclear

Conventional

Forward presence

Crisis response

Power projection

Keep sea lines open

Force reconstitution

### Navy Policy Book (1992)

#### 4 primary elements

Deterrence

Forward Presence

Crisis response

Reconstitution

## Evolution of “navy capabilities” construct (II)

### ...From the Sea (1992)

#### 6 capabilities

Forward deployment/  
presence  
Strategic deterrence  
Control of the seas  
Crisis response  
Project precise power  
Sealift

### NDP 1 Naval Warfare (1994)

#### 6 ways to carry out roles

Deterrence  
Forward presence  
Naval Ops other than war  
Sealift  
Joint Operations  
Naval Operations in war

### Forward...From the Sea (1994)

#### 5 fundamental & enduring roles

Projection of power from  
sea to land  
Sea control & maritime  
supremacy  
Strategic deterrence  
Strategic sealift  
Forward naval presence

### Anytime, Anywhere (1997)

#### 4 broad missions

Sea & area control  
Power projection  
Presence  
Deterrence

### Seapower 21 (2002)

#### 5 enduring missions

Sea control  
Power projection  
Strategic deterrence  
Strategic sealift  
Forward presence

## Evolution of “navy capabilities” construct (III)

### Naval Operations Concept (2006)

#### 13 naval missions

Forward naval presence

Crisis response

Expeditionary power  
projection

Maritime security  
operations

Sea control

Deterrence

Security cooperation

Civil-military operations

Counterinsurgency

Counterterrorism

Counter-proliferation

Air & missile defense

Information operations

### Cooperative Strategy for 21st Century Seapower (2007)

#### 6 expanded core capabilities

Forward presence

Deterrence

Sea control

Power projection

Maritime security

Humanitarian assistance  
& disaster response

### Naval Operations Concept (2010)

#### 6 core capabilities

Forward presence

Maritime Security

Humanitarian assistance  
& disaster response

Sea Control

Power Projection

Deterrence

### NDP 1 Naval Warfare (2010)

#### 6 core capabilities

Forward presence

Deterrence

Sea control

Power projection

Maritime security

Humanitarian  
assistance/disaster  
response

## Construct #2: “Spectrum of conflict”



Project SIXTY	
Missions of the U.S. Navy	
NWP 1 (Rev. A)	
Sea Plan 2000	Maintain stability, contain crises, deter worldwide war
Future of U.S. Sea Power	
The Maritime Strategy	Peacetime presence, crisis response, global conventional war
The Way Ahead	
The Navy Policy Book	
... From the Sea	
NDP 1: Naval Warfare	
Forward ... From the Sea	Peacetime forward presence operations, crisis response, regional conflict
Navy Operational Concept	Peacetime engagement, deterrence and conflict prevention, fight and win
Anytime, Anywhere	
NSPG II	Regional stability, deterrence, timely crisis response, warfighting and winning
SP 21 & Global CONOPs	Deterring forward in peacetime, responding to crises, fighting and winning wars
Naval Power 21	
NOCJO	Secure homeland, global stability, deterrence, crisis response,
Fleet Response Plan	Force build-up in theater, conflict resolution, war
NSP ISO POM 08	
NOC 2006	
NSP ISO POM 10 + Ch 1	
Cooperative Strategy	
NSG ISO PR 11	
NSP ISO POM 12	
NOC 2010	
NDP 1: Naval Warfare	Shape, deter, seize the initiative, dominate, stabilize, enable civil authority
NSP ISO POM 13	



## Evolution of “spectrum of conflict” construct (I)



### **Sea Plan 2000 (1978)**

3 primary national security objectives

Maintain stability

Contain crises

Deter worldwide war

### **The Maritime Strategy (1980s)**

Range of conflict possibilities

Peacetime presence

Crisis response

Global conventional war

- Transition to war

- Seize the initiative

- Carry the fight to the enemy

- War termination on favorable terms

### **The Way Ahead (1991)**

Wide variety of missions

Peacetime situations

Crisis

Conflict resolution

### **Forward...from the Sea (1994)**

A continuum of forward operations

Peacetime presence operations

Crisis response

Regional conflict

## Evolution of “spectrum of conflict” construct (II)



### **Navy Operational Concept (1997)**

*3 components of the National Military Strategy*

Peacetime engagement

Deterrence & conflict prevention

Fight & win

### **Navy Strategic Planning Guidance (2000)**

Ends

Regional stability

Deterrence

Timely crisis response

War fighting & winning

### **Sea Power 21 (2002)**

Continuum of warfare

Deterring forward in peacetime

Responding to crises

Fighting & winning wars



## Evolution of “spectrum of conflict” construct (III)



### **Naval Operating Concept for Joint Operations (2003)**

#### *Flexible response*

Secure homeland  
Global stability  
Deterrence  
Crisis response  
Force build-up in theater  
Conflict resolution  
War

### **NDP 1 Naval Warfare (2010)**

#### *Phases of Operations or campaigns*

Shape  
Deter  
Seize the initiative  
Stabilize  
Enable civil authority

## Construct #3: “Pillars”



Project SIXTY

Missions of the U.S. Navy

NWP 1 (Rev. A)

Sea Plan 2000

Future of U.S. Sea Power

The Maritime Strategy

The Way Ahead

The Navy Policy Book

. . . From the Sea

C2 & surveillance, battlespace dominance, power projection, force sustainment

NDP 1: Naval Warfare

C2 & surveillance, battlespace dominance, power projection, force sustainment

Forward . . . From the Sea

Navy Operational Concept

Anytime, Anywhere

NSPG II

Battlespace control, battlespace attack, b-space sustainment, knowledge superiority

SP 21 & Global CONOPs

Sea strike, sea shield, sea basing, FORCEnet, sea trial, sea warrior, sea enterprise

Naval Power 21

Sea strike, sea shield, sea basing, FORCEnet, sea trial, sea warrior, sea enterprise

NOCJO

Sea strike, sea shield, sea basing, FORCEnet, sea warrior, sea trial, USMC concepts

Fleet Response Plan

NSP ISO POM 08

Sea strike, sea shield, sea base, sea shaping, sea enterprise, sea trial, sea warrior

NOC 2006

NSP ISO POM 10 + Ch1

Sea strike, sea shield, sea base, FORCEnet, enterprises

Cooperative Strategy

NSG ISO PR 11

NSP ISO POM 12

NOC 2010

NDP 1: Naval Warfare

NSP ISO POM 13

23

## Evolution of “pillars” construct (I)

### ...From the Sea (1992)

#### 4 key operational capabilities

Command, control & surveillance  
 Battlespace dominance  
 Power projection  
 Force sustainment

### NDP 1 Naval Warfare (1992)

#### 4 critical operational capabilities

Command, control & surveillance  
 Battlespace dominance  
 Power projection  
 Force sustainment

### Navy Strategic Planning Guidance (2000)

#### Ways

Battlespace control  
 Battlespace attack  
 Battlespace sustainment

#### Means

Forward presence  
 Knowledge superiority

### Sea Power 21 + Naval Power 21 (2002)

#### 3 fundamental concepts

Sea Strike  
 Sea Shield  
 Sea Basing

#### Enabled by

FORCEnet

#### Supporting triad of organizational processes\*

Sea Trial  
 Sea Warrior  
 Sea Enterprise

\* Labeled “supporting triad of initiatives” in Naval Power 21

## Evolution of “pillars” construct (II)

### Naval Operations Concept for Joint Operations (2003)

#### Integrated & complementary concepts

Sea Strike

Sea Shield

Sea Basing

#### Enabled by

FORCEnet

Expeditionary Maneuver Warfare

Operational Maneuver From The Sea (OMFTS)

Ship-to-Objective Maneuver (STOM)

Sea Warrior

Sea Trial

### Naval Strategic Plan ISO POM 08 (2006)    Naval Strategic Plan ISO POM 10 (2007)

#### Sea Power 21 Pillars

Sea Strike

Sea Shield

Sea Basing

Sea Shaping

Sea Enterprise

Sea Trial

Sea Warrior

#### Sea Power 21 Pillars

Sea Strike

Sea Shield

Sea Basing

FORCEnet

Enterprises

## Alternative & supplemental constructs



### Project SIXTY

Missions of the U.S. Navy 4 missions each broken down into tactics

NWP 1 (Rev. A) 6 fundamental warfare tasks; 6 supporting warfare tasks

Sea Plan 2000 8 measures of naval capabilities, keyed to spectrum of war

Future of U.S. Sea Power 8 basic principles to guide structuring, employment of naval forces; 6 conclusions

The Maritime Strategy 6-8 warfare tasks in global conventional war with Soviets; 2-12 uncertainties

The Way Ahead wide variety of missions: humanitarian asst., peace keeping, counternarcotics, etc.

The Navy Policy Book Bush Aspen speech: Deterrence, forward presence, crisis response, reconstitution

. . . From the Sea 4 tradit/ capab' s: Forward deployment, crisis response, strategic deterrence, sealift

NDP 1: Naval Warfare 9 Principles of War, 3 levels of war, 2 styles of war, 5 naval roles

Forward . . . From the Sea

Navy Operational Concept

Anytime, Anywhere

NSPG II 10-part model: Means, ways, ends

### SP 21 & Global CONOPs

Naval Power 21 3 fundamental pillars: Assure access, fight & win, continually transform to improve

NOCJO Incl/ USMC concepts: Expeditionary warfare maneuver, OMFTS, STOM

Fleet Response Plan Contrasts old Inter-Deployment Cycle phases w/ new FRP readiness phases

NSP ISO POM 08 4 DOD challenges; 3 levels of war; 3 risk guidance categories

NOC 2006 3-5 strategic missions, 9 principles, 9 methods, 5 strategic objectives, 4 foundations

NSP ISO POM 10 + Ch 1 6 strategic imperatives, 3 risk guidance categories

Cooperative Strategy 6 strategic imperatives, 3 implementation priorities

NSG ISO PR 11 6 strategic imperatives, 3 risk guidance categories

NSP ISO POM 12 6 strategic imperatives, 3 risk guidance categories, 3 levels of war

NOC 2010 6 strategic imperatives

NDP 1: Naval Warfare 6 strategic imperatives, 3 levels of war, 12 joint principles

NSP ISO POM 13 6 strategic imperatives, 3 risk guidance categories

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- Swartz, Peter M., with Michael C. Markowitz, *Organizing OPNAV (1970-2009)*, (CAB D0020997.A5/2Rev, January 2010).
- Swartz, Peter M., with Karin Duggan, *U.S. Navy – U.S. Air Force Relationships 1970-2010*, (MISC D0024022.A4/1Rev, June 2011).

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